

As  $|wx| > 0$ ,  $yw^iux^jz$  cannot equal  $yw^jux^i z$  if  $i \neq j$ . Thus the grammar generates an infinite number of strings.

Conversely, suppose the graph has no cycles. Define the *rank* of a variable  $A$  to be the length of the longest path in the graph beginning at  $A$ . The absence of cycles implies that the rank of  $A$  is finite. We also observe that if  $A \rightarrow BC$  is a production, then the rank of  $B$  and  $C$  must be strictly less than the rank of  $A$ , because for every path from  $B$  or  $C$ , there is a path of length one greater from  $A$ . We show by induction on  $r$  that if  $A$  has rank  $r$ , then no terminal string derived from  $A$  has length greater than  $2^r$ .

**Basis**  $r = 0$ . If  $A$  has rank 0, then its vertex has no edges out. Therefore all  $A$ -productions have terminals on the right, and  $A$  derives only strings of length 1.

**Induction**  $r > 0$ . If we use a production of the form  $A \rightarrow a$ , we may derive only a string of length 1. If we begin with  $A \rightarrow BC$ , then as  $B$  and  $C$  are of rank  $r - 1$  or less, by the inductive hypothesis, they derive only strings of length  $2^{r-1}$  or less. Thus  $BC$  cannot derive a string of length greater than  $2^r$ .

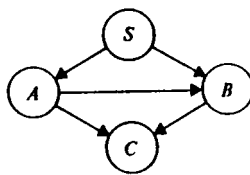
Since  $S$  is of finite rank  $r_0$ , and in fact, is of rank no greater than the number of variables,  $S$  derives strings of length no greater than  $2^{r_0}$ . Thus the language is finite.  $\square$

**Example 6.6** Consider the grammar

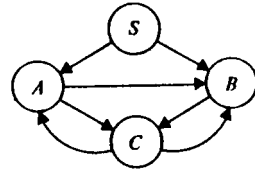
$$\begin{aligned} S &\rightarrow AB \\ A &\rightarrow BC|a \\ B &\rightarrow CC|b \\ C &\rightarrow a \end{aligned}$$

whose graph is shown in Fig. 6.7(a). This graph has no cycles. The ranks of  $S$ ,  $A$ ,  $B$ , and  $C$  are 3, 2, 1, and 0, respectively. For example, the longest path from  $S$  is  $S, A, B, C$ . Thus this grammar derives no string of length greater than  $2^3 = 8$  and therefore generates a finite language. In fact, a longest string generated from  $S$  is

$$S \Rightarrow AB \Rightarrow BCB \Rightarrow CCCB \Rightarrow CCCCC \Rightarrow aaaaaa.$$



(a)



(b)

Fig. 6.7 Graphs corresponding to CNF grammars.

If we add production  $C \rightarrow AB$ , we get the graph of Fig. 6.7(b). This new graph has several cycles, such as  $A, B, C, A$ . Thus we can find a derivation  $A \xRightarrow{*} \alpha_3 A \beta_3$ , in particular  $A \Rightarrow BC \Rightarrow CCC \Rightarrow CABC$ , where  $\alpha_3 = C$  and  $\beta_3 = BC$ . Since  $C \xRightarrow{*} a$  and  $BC \xRightarrow{*} ba$ , we have  $A \xRightarrow{*} aAba$ . Then as  $S \xRightarrow{*} Ab$  and  $A \xRightarrow{*} a$ , we now have  $S \xRightarrow{*} a^i a(ba)^i b$  for every  $i$ . Thus the language is infinite.

### Membership

Another question we may answer is: Given a CFG  $G = (V, T, P, S)$  and string  $x$  in  $T^*$ , is  $x$  in  $L(G)$ ? A simple but inefficient algorithm to do so is to convert  $G$  to  $G' = (V', T, P', S)$ , a grammar in Greibach normal form generating  $L(G) - \{\epsilon\}$ . Since the algorithm of Theorem 4.3 tests whether  $S \xRightarrow{*} \epsilon$ , we need not concern ourselves with the case  $x = \epsilon$ . Thus assume  $x \neq \epsilon$ , so  $x$  is in  $L(G')$  if and only if  $x$  is in  $L(G)$ . Now, as every production of a GNF grammar adds exactly one terminal to the string being generated, we know that if  $x$  has a derivation in  $G'$ , it has one with exactly  $|x|$  steps. If no variable of  $G'$  has more than  $k$  productions, then there are at most  $k^{|x|}$  leftmost derivations of strings of length  $|x|$ . We may try them all systematically.

However, the above algorithm can take time which is exponential in  $|x|$ . There are several algorithms known that take time proportional to the cube of  $|x|$  or even a little less. The bibliographic notes discuss some of these. We shall here present a simple cubic time algorithm known as the Cocke-Younger-Kasami or CYK algorithm. It is based on the dynamic programming technique discussed in the solution to Exercise 3.23. Given  $x$  of length  $n \geq 1$ , and a grammar  $G$ , which we may assume is in Chomsky normal form, determine for each  $i$  and  $j$  and for each variable  $A$ , whether  $A \xRightarrow{*} x_{ij}$ , where  $x_{ij}$  is the substring of  $x$  of length  $j$  beginning at position  $i$ .

We proceed by induction on  $j$ . For  $j = 1$ ,  $A \xRightarrow{*} x_{ij}$  if and only if  $A \rightarrow x_{ij}$  is a production, since  $x_{ij}$  is a string of length 1. Proceeding to higher values of  $j$ , if  $j > 1$ , then  $A \xRightarrow{*} x_{ij}$  if and only if there is some production  $A \rightarrow BC$  and some  $k$ ,  $1 \leq k < j$ , such that  $B$  derives the first  $k$  symbols of  $x_{ij}$  and  $C$  derives the last  $j - k$  symbols of  $x_{ij}$ . That is,  $B \xRightarrow{*} x_{ik}$  and  $C \xRightarrow{*} x_{i+k, j-k}$ . Since  $k$  and  $j - k$  are both less than  $j$ , we already know whether each of the last two derivations exists. We may thus determine whether  $A \xRightarrow{*} x_{ij}$ . Finally, when we reach  $j = n$ , we may determine whether  $S \xRightarrow{*} x_{1n}$ . But  $x_{1n} = x$ , so  $x$  is in  $L(G)$  if and only if  $S \xRightarrow{*} x_{1n}$ .

To state the CYK algorithm precisely, let  $V_{ij}$  be the set of variables  $A$  such that  $A \xRightarrow{*} x_{ij}$ . Note that we may assume  $1 \leq i \leq n - j + 1$ , for there is no string of length greater than  $n - i + 1$  beginning at position  $i$ . Then Fig. 6.8 gives the CYK algorithm formally.

Steps (1) and (2) handle the case  $j = 1$ . As the grammar  $G$  is fixed, step (2) takes a constant amount of time. Thus steps (1) and (2) take  $O(n)$  time. The nested for-loops of lines (3) and (4) cause steps (5) through (7) to be executed at most  $n^2$  times, since  $i$  and  $j$  range in their respective for-loops between limits that are at

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begin
1)  for i:= 1 to n do
2)     $V_{i1} := \{A \mid A \rightarrow a \text{ is a production and the } i\text{th symbol of } x \text{ is } a\};$ 
3)    for j:= 2 to n do
4)      for i:= 1 to n - j + 1 do
5)        begin
6)           $V_{ij} := \emptyset;$ 
7)          for k:= 1 to j - 1 do
8)             $V_{ij} := V_{ij} \cup \{A \mid A \rightarrow BC \text{ is a production, } B \text{ is in } V_{ik} \text{ and } C \text{ is in } V_{i+k,j-k}\}$ 
9)          end
10)         end
11)      end
12)    end
end

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Fig. 6.8. The CYK algorithm.

most  $n$  apart. Step (5) takes constant time at each execution, so the aggregate time spent at step (5) is  $O(n^2)$ . The for-loop of line (6) causes step (7) to be executed  $n$  or fewer times. Since step (7) takes constant time, steps (6) and (7) together take  $O(n)$  time. As they are executed  $O(n^2)$  times, the total time spent in step (7) is  $O(n^3)$ . Thus the entire algorithm is  $O(n^3)$ .

**Example 6.7** Consider the CFG

$$\begin{aligned}
 S &\rightarrow AB \mid BC \\
 A &\rightarrow BA \mid a \\
 B &\rightarrow CC \mid b \\
 C &\rightarrow AB \mid a
 \end{aligned}$$

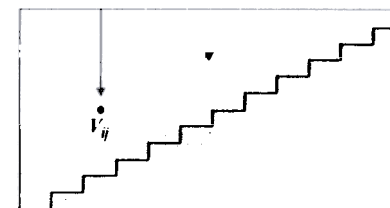
and the input string *baaba*. The table of  $V_{ij}$ 's is shown in Fig. 6.9. The top row is filled in by steps (1) and (2) of the algorithm in Fig. 6.8. That is, for positions 1 and 4, which are *b*, we set  $V_{11} = V_{41} = \{B\}$ , since *B* is the only variable which derives *b*.

|   | <i>b</i>       |                | <i>b</i>    |             |
|---|----------------|----------------|-------------|-------------|
|   | 1              | 2              | 3           | 4           |
| 1 | <i>B</i>       | <i>A, C</i>    | <i>A, C</i> | <i>B</i>    |
| 2 | <i>S, A</i>    | <i>B</i>       | <i>S, C</i> | <i>S, A</i> |
| 3 | $\emptyset$    | <i>B</i>       | <i>B</i>    |             |
| 4 | $\emptyset$    | <i>S, A, C</i> |             |             |
| 5 | <i>S, A, C</i> |                |             |             |

Fig. 6.9 Table of  $V_{ij}$ 's

Similarly,  $V_{21} = V_{31} = V_{51} = \{A, C\}$ , since only *A* and *C* have productions with *a* on the right.

To compute  $V_{ij}$  for  $j > 1$ , we must execute the for-loop of steps (6) and (7). We must match  $V_{ik}$  against  $V_{i+k,j-k}$  for  $k = 1, 2, \dots, j - 1$ , seeking variable *D* in  $V_{ik}$  and *E* in  $V_{i+k,j-k}$  such that *DE* is the right side of one or more productions. The left sides of these productions are adjoined to  $V_{ij}$ . The pattern in the table which corresponds to visiting  $V_{ik}$  and  $V_{i+k,j-k}$  for  $k = 1, 2, \dots, j - 1$  in turn is to simultaneously move down column *i* and up the diagonal extending from  $V_{ij}$  to the right, as shown in Fig. 6.10.

Fig. 6.10 Traversal pattern for computation of  $V_{ij}$ .

For example, let us compute  $V_{24}$ , assuming that the top three rows of Fig. 6.9 are filled in. We begin by looking at  $V_{21} = \{A, C\}$  and  $V_{33} = \{B\}$ . The possible right-hand sides in  $V_{21}V_{33}$  are *AB* and *CB*. Only the first of these is actually a right side, and it is a right side of two productions  $S \rightarrow AB$  and  $C \rightarrow AB$ . Hence we add *S* and *C* to  $V_{24}$ . Next we consider  $V_{22}V_{42} = \{B\}\{S, A\} = \{BS, BA\}$ . Only *BA* is a right side, so we add the corresponding left side *A* to  $V_{24}$ . Finally, we consider  $V_{23}V_{51} = \{B\}\{A, C\} = \{BA, BC\}$ . *BA* and *BC* are each right sides, with left sides *A* and *S*, respectively. These are already in  $V_{24}$ , so we have  $V_{24} = \{S, A, C\}$ . Since *S* is a member of  $V_{15}$ , the string *baaba* is in the language generated by the grammar.

## EXERCISES

**6.1** Show that the following are not context-free languages.

- $\{a^i b^j c^k \mid i < j < k\}$
- $\{a^i b^j \mid j = i^2\}$
- $\{a^i \mid i \text{ is a prime}\}$
- the set of strings of *a*'s, *b*'s, and *c*'s with an equal number of *e*
- $\{a^n b^m c^m \mid n \leq m \leq 2n\}$

**6.2** Which of the following are CFL's?

- $\{a^i b^j \mid i \neq j \text{ and } i \neq 2j\}$
- $(a + b)^* - \{(a^n b^n)^m \mid n \geq 1\}$
- $\{ww^R w \mid w \text{ is in } (a + b)^*\}$
- $\{b_i \neq b_{i+1} \mid b_i \text{ is } i \text{ in binary, } i \geq 1\}$